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| General information about con | mpany |
|--|--|
| Scrip code | 519234 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE843L01012 |
| Name of the company | SUPERIOR INDUSTRIAL ENTERPRISES LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2019 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| | Declara | ition | | | |
|------------|--|--------|--------------------------------|-----------------------|-----------------------------|
| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

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| | | Tal | ole I - Sui | nmary | Statement | holding o | of specified sec | urities | | | | |
|-----------------|---|--------------|---------------------|-----------------------|--------------------------|----------------------|-------------------------------------|------------------------|---------------|---------------------------------|-----------------|--|
| | Category | Nos. Of | No. of fully paid | No. Of Partly | No. Of shares | Total nos. | Shareholding as a % of total no. of | Number of class of sec | | g Rights held in each s (IX) | | |
| Category (I) | of shareholder | shareholders | up equity | paid-up equity | underlying Depository | held (VII) | shares (calculated as per SCRR, | No of Voti | ng (XIV | /) Rights | Total as a | |
| | (II) | (III) | shares held (IV) | shares held (V) | Receipts (VI) | = (IV)+ (V)+ (VI) | 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | % of (A+B+C) | |
| (A) | Promoter & Promoter Group | 11 | 6530536 | | | 6530536 | 47.15 | 6530536 | | 6530536 | 47.15 | |
| (B) | Public | 3481 | 7319464 | | | 7319464 | 52.85 | 7319464 | | 7319464 | 52.85 | |
| (C) | Non Promoter- Non Public | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | |
| | Total | 3492 | 13850000 | | | 13850000 | 100 | 13850000 | | 13850000 | 100 | |

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| | | Tabl | e I - Summ | ary Statement | holding of specified | secu | rities | | | |
|----------|---|----------------------------------|---------------------------------|---|---|--|---------------------------------|--|---------------------------------|---------------------------------|
| Category | | | No. of Shares Underlying | No. Of Shares Underlying Outstanding convertible | Shareholding , as a % assuming full conversion of convertible securities (| Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in |
| (I) | shareholder (II) | convertible securities (X) | Outstanding Warrants (Xi) | securities and No. Of Warrants (Xi) (a) | as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | dematerialized form (XIV) |
| (A) | Promoter & Promoter Group | | | | 47.15 | | | | | 6415536 |
| (B) | Public | | | | 52.85 | | | | | 5951653 |
| (C) | Non Promoter- Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 12367189 |

| | | | N C | No. Of | No. Of | Total nos. | Shareholding as a % of | Number of class of sec | | Rights held (IX) | in each |
|---|---|----------------------|-----------------------------------|---------------------------------|------------------------------------|---------------------------|--|------------------------|---------------|---------------------|---------------------------------|
| Sr. | Category & Name of the | Nos. Of shareholders | No. of fully paid up equity | Partly paid- up | shares underlying Depository | shares held (VII) = | total no. of shares (calculated as | No of Votin | ng (XIV | 7) Rights | Total as a % |
| | Shareholders (I) | (III) | shares held (IV) | equity shares held (V) | Receipts (VI) | (IV)+ (V)+ (VI) | per SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: | Class eg:y | Total | of Total Voting rights |
| A | Table II - Statemen | t showing shar | eholding pa | ttern of t | he Promoter | and Promote | er Group | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 6 | 1352797 | | | 1352797 | 9.77 | 1352797 | | 1352797 | 9.77 |
| (d) | Any Other (specify) | 5 | 5177739 | | | 5177739 | 37.38 | 5177739 | | 5177739 | 37.38 |
| Sub-Total (A)(1) | | 11 | 6530536 | | | 6530536 | 47.15 | 6530536 | | 6530536 | 47.15 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2) | | 11 | 6530536 | | | 6530536 | 47.15 | 6530536 | | 6530536 | 47.15 |
| В | Table III - Statemen | nt showing sha | reholding p | attern of | the Public sh | areholder | | | ı | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 3437 | 1679976 | | | 1679976 | 12.13 | 1679976 | | 1679976 | 12.13 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 23 | 3785283 | | | 3785283 | 27.33 | 3785283 | | 3785283 | 27.33 |
| (b) | NBFCs registered with RBI | 3 | 26450 | | | 26450 | 0.19 | 26450 | | 26450 | 0.19 |
| (e) | Any Other (specify) | 18 | 1827755 | | | 1827755 | 13.2 | 1827755 | | 1827755 | 13.2 |
| Sub-Total (B)(3) | | 3481 | 7319464 | | | 7319464 | 52.85 | 7319464 | | 7319464 | 52.85 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3) | | 3481 | 7319464 | | | 7319464 | 52.85 | 7319464 | | 7319464 | 52.85 |
| С | Table IV - Statemen | nt showing sha | reholding p | attern of | the Non Pror | noter- Non I | Public sharehold | ler | | | |
| Total (A+B+C2) | | 3492 | 13850000 | | | 13850000 | 100 | 13850000 | | 13850000 | 100 |
| Total | | 3492 | 13850000 | | | 13850000 | 100 | 13850000 | | 13850000 | 100 |

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| Ta | able II - Stat | tement shov | ving shareholdi | ng pattern of the Promo | ter a | nd Pro | mot | er Gro | ир |
|--|---|---|---|---|--|------------|---------------------------------|------------------------------|-------------------------|
| g | No. Of Shares Underlying Outstanding convertible securities (X) No. of Shares Underlying Outstanding Warrants (Xi) | Shares Underlying | No. Of Shares Underlying Outstanding convertible | Shareholding , as a % assuming full conversion of convertible securities (as a | Number of Locked in shares (XII) | | Shar pled othe | ged or rwise imbered | Number of equity shares |
| Sr. | | securities and No. Of Warrants (Xi) (a) | percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | dematerialized form (XIV) | |
| A | Table II - Stat | ement showing | shareholding patte | ern of the Promoter and Promot | er Gro | oup | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 9.77 | | | | | 1272797 |
| (d) | | | | 37.38 | | | | | 5142739 |
| Sub-Total (A) (1) | | | | 47.15 | | | | | 6415536 |
| (2) | Foreign | | | | | | | • | • |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 47.15 | | | | | 6415536 |
| В | Table III - Sta | tement showin | g shareholding patt | ern of the Public shareholder | | | | | |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institutio | ns | | | | | | | |
| (a(i)) | | | | 12.13 | | | | | 488165 |
| (a(ii)) | | | | 27.33 | | | | | 3685283 |
| (b) | | | | 0.19 | | | | | 150 |
| (e) | | | | 13.2 | | | | | 1778055 |
| Sub-Total (B) (3) | | | | 52.85 | | | | | 5951653 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | | | | 52.85 | | | | | 5951653 |
| С | Table IV - Sta | tement showin | g shareholding patt | tern of the Non Promoter- Non | Public | shareho | older | | |
| Total (A+B+C2) | | | | 100 | | | | | 12367189 |
| Total (A+B+C | | | | 100 | | | | | 12367189 |

| | | Individu | als/Hindu undivid | ed Family | | |
|---|---------------------|--------------------------|------------------------|-------------------|--------------------|------------------|
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 |
| Name of the Shareholders (I) | ASHOK SAXENA | RASHMI KANT MITTAL | PRABHA RANI AGRAWAL | DEEPTI AGRAWAL | SANJEEV AGRAWAL | ANANT AGRAWAL |
| PAN (II) | AXNPS7152H | ANIPM9957D | AAMPA0572D | AAMPA0573C | ABOPA4675N | AFSPA0559F |
| No. of fully paid up equity shares held (IV) | 20000 | 20000 | 50000 | 518942 | 669036 | 74819 |
| No. Of Partly paid- up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 20000 | 20000 | 50000 | 518942 | 669036 | 74819 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.14 | 0.14 | 0.36 | 3.75 | 4.83 | 0.54 |
| Number of Voting | Rights held in each | ch class of securities (| IX) | | | |
| Class eg:X | 20000 | 20000 | 50000 | 518942 | 669036 | 74819 |
| Class eg:y | | | | | | |
| Total | 20000 | 20000 | 50000 | 518942 | 669036 | 74819 |
| Total as a % of Total Voting rights | 0.14 | 0.14 | 0.36 | 3.75 | 4.83 | 0.54 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 0.14 | 0.14 | 0.36 | 3.75 | 4.83 | 0.54 |
| Number of Locked | in shares (XII) | Г | | | 1 | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| | pledged or otherv | vise encumbered (XII | I) | | 1 | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 0 | 0 | 50000 | 478942 | 669036 | 74819 |
| Reason for not pro | viding PAN | • | • | | • | • |
| Reason for not providing PAN | | | | | | |
| Shareholder type | | | | | | |

| | Individuals/Hindu undivided Family |
|--|--|
| Searial No. | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of fully paid up equity shares held (IV) | 1352797 |
| No. Of Partly paid- up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1352797 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 9.77 |
| Number of Voting | Rights held in each class of securities (IX) |
| Class eg:X | 1352797 |
| Class eg:y | |
| Total | 1352797 |
| Total as a % of Total Voting rights | 9.77 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(XI)(a) As a % of (A+B+C2) | 9.77 |
| Number of Locked | in shares (XII) |
| No. (a) | |
| As a % of total Shares held (b) | |
| | pledged or otherwise encumbered (XIII) |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of equity shares held in dematerialized form (XIV) | 1272797 |
| Reason for not prov | viding PAN |
| Reason for not providing PAN | |
| Shareholder type | |

| | | | Any Other (speci | fy) | | |
|--|---------------------------------|----------------------------|--------------------------------------|------------------------------|---|--------------------------|
| Searial No. | 1 | 2 | 3 | 4 | 5 | |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | SAPTRISHI FINANCE LIMITED | HAL OFFSHORE LIMITED | HINDUSTAN AQUA PRIVATE LIMITED | MOON BEVERAGES LIMITED | FORTUNE INDUSTRIAL RESOURCES LIMITED | |
| PAN (II) | AACCS0082R | AAACH3144B | AAACH3298J | AAACM1635J | AAACF1853B | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 1 | 5 |
| No. of fully paid up equity shares held (IV) | 35000 | 2586434 | 1000000 | 1466305 | 90000 | 5177739 |
| No. Of Partly paid- up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 35000 | 2586434 | 1000000 | 1466305 | 90000 | 5177739 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.25 | 18.67 | 7.22 | 10.59 | 0.65 | 37.38 |
| Number of Voting | Rights held in each | class of securities | (IX) | | | _ |
| Class eg: X | 35000 | 2586434 | 1000000 | 1466305 | 90000 | 5177739 |
| Class eg:y | | | | | | |
| Total | 35000 | 2586434 | 1000000 | 1466305 | 90000 | 5177739 |
| Total as a % of Total Voting rights | 0.25 | 18.67 | 7.22 | 10.59 | 0.65 | 37.38 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.25 | 18.67 | 7.22 | 10.59 | 0.65 | 37.38 |
| Number of Locked | in shares (XII) | 1 | | 1 | | ı |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of Shares J | pledged or otherwis | e encumbered (X | III) | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in | 0 | 2586434 | 1000000 | 1466305 | 90000 | 5142739 |

| dematerialized form (XIV) | | | | | | |
|------------------------------|------------------------------|--|--|--|--|--|
| Reason for not pro | Reason for not providing PAN | | | | | |
| Reason for not providing PAN | | | | | | |
| Shareholder type | | | | | | |

| Individ | ı | 1 | | ı | | 1 | 1 |
|---|-----------------------------|--------------------------|--|-----------------|------------|-----------------|----------------------------|
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Name of the Shareholders (I) | BRIJ BHUSHAN SINGHAL HUF | NEERAJ SINGHAL HUF | RAKESH RELAN | RITU SINGHAL | RUPESH JHA | RICHI KHEMKA | BRJI BHUSHAN SINGHAL |
| PAN (II) | AAAHB6923R | AAEHN4557P | AAEPR9201L | ABHPS3711N | ACAFS1762K | ACAFS9647P | AEFPS6298N |
| No. of fully paid up equity shares held (IV) | 450000 | 450000 | 250974 | 450000 | 149500 | 156251 | 450000 |
| No. Of Partly paid- up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 450000 | 450000 | 250974 | 450000 | 149500 | 156251 | 450000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.25 | 3.25 | 1.81 | 3.25 | 1.08 | 1.13 | 3.25 |
| Number of Voting | Rights held in each o | class of securities | (IX) | | | | |
| Class eg: X | 450000 | 450000 | 250974 | 450000 | 149500 | 156251 | 450000 |
| Class eg:y | | | | | | | |
| Total | 450000 | 450000 | 250974 | 450000 | 149500 | 156251 | 450000 |
| Total as a % of Total Voting rights | 3.25 | 3.25 | 1.81 | 3.25 | 1.08 | 1.13 | 3.25 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 3.25 | 3.25 | 1.81 | 3.25 | 1.08 | 1.13 | 3.25 |
| Number of Locked | in shares (XII) | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 450000 | 450000 | 250974 | 450000 | 149500 | 156251 | 450000 |
| Reason for not pro | viding PAN | · | <u>. </u> | | | | |
| Reason for not providing PAN | | | | | | | |

| Searial No. | 8 | 9 | |
|---|------------------------------------|-------------|-----------------------|
| Name of the Shareholders (I) | NEERAJ SINGAL | UMA SINGAL | Click here to go back |
| PAN (II) | ANRPS7986B | ANRPS7987A | Total |
| No. of fully paid up equity shares held (IV) | 450000 | 250000 | 3056725 |
| No. Of Partly paid- up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 450000 | 250000 | 3056725 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.25 | 1.81 | 22.07 |
| Number of Voting | Rights held in each class of secur | rities (IX) | |
| Class eg: X | 450000 | 250000 | 3056725 |
| Class eg:y | | | |
| Total | 450000 | 250000 | 3056725 |
| Total as a % of Total Voting rights | 3.25 | 1.81 | 22.07 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 3.25 | 1.81 | 22.07 |
| Number of Locked | in shares (XII) | • | • |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 450000 | 250000 | 3056725 |
| Reason for not pro- | viding PAN | | |
| | | | |

| Any Other (specify) | | | | |
|--|--|---------------------------|------------------|-----------------------|
| Searial No. | 1 | 2 | 3 | |
| Category | Bodies Corporate | Non-Resident Indian (NRI) | Clearing Members | |
| Category / More than 1 percentage | More than 1 percentage of shareholding | Category | Category | |
| Name of the Shareholders (I) | JAY POLYCHEM INDIA LIMITED | | | Click here to go back |
| PAN (II) | AAACJ0657M | | | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 2 |
| No. of fully paid up equity shares held (IV) | 1500000 | 1200 | 2 | 1202 |
| No. Of Partly paid- up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1500000 | 1200 | 2 | 1202 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 10.83 | 0.01 | 0 | 0.01 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 1500000 | 1200 | 2 | 1202 |
| Class eg:y | | | | |
| Total | 1500000 | 1200 | 2 | 1202 |
| Total as a % of Total Voting rights | 10.83 | 0.01 | 0 | 0.01 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 10.83 | 0.01 | 0 | 0.01 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 1500000 | 1200 | 2 | 1202 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |

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Sr. No.Details of the SBODetails of the registered ownerDetails of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:Date of creation / acquisition of significant beneficial interestNamePAN Passport No. in case of a foreign nationalNationalityNationality (Applicable in case of Any other is selected)NamePAN Passport No. in case of a foreign nationalNationalityNationality (Applicable in case of Any other is selected)Whether by virtue of:SharesVoting rightsRights on distributable dividend or any other distributionExercise of controlExercise of significant influence